

Docket No.: 60188-402



PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of : Customer Number: 20277  
ODAKE, YOSHINORI, et al. : Confirmation Number: 6382  
Application No.: 09/987,001 : Group Art Unit: 2812  
Filed: November 13, 2001 : Examiner: Richard A. BOOTH  
For: METHOD OF FABRICATING NONVOLATILE SEMICONDUCTOR MEMORY  
DEVICE

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee.

**REQUEST TO CONSIDER REFERENCES AFTER CLOSE OF PROSECUTION AND  
BEFORE PAYMENT OF ISSUE FEE**

The undersigned hereby requests consideration and entry of this Information Disclosure Statement and accompanying references under 37 CFR 1.97(d).

Please charge the processing fee under 1.17(p) of \$180.00 to Deposit Account 500417.

12/02/2004 LWDNDIM1 00000150 500417 09987001

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CERTIFICATION PARAGRAPH

The undersigned certifies that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement as described in 37 CFR 1.97(e)(1).

Each language reference, listed on the attached PTO-1449, was cited in a corresponding Japanese application office action and its relevance discussed therein. A copy of the foreign office action, together with an English language version thereof, is attached for the Examiner's information.

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

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**Date: December 1, 2004**

**Please recognize our Customer No.  
20277 as our correspondence address.**



SHEET 1 OF 1

<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>  (PTO-1449)				ATTY. DOCKET NO. <b>60188-402</b>		SERIAL NO. <b>09/987,001</b>	
				APPLICANT <b>ODAKE, YOSHINORI, et al.</b>			
				FILING DATE <b>November 13, 2001</b>		GROUP <b>2812</b>	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	CITE NO.	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		US 6,472,281 B2	10/29/2002	Doi et al.	Corresponds to JP 11-289088		
		US 2003/0001195 A1	1/2/2003	Mori	Corresponds to JP 2000-164736 A		
		US 6,228,717 B1	5/8/2001	Hazama et al.	Corresponds to JP 11-154711		
		US					
		US					
<b>FOREIGN PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	CITE NO.	Foreign Patent Document Country Codes - Number - Kind Codes (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Figures Appear	Translation Yes No	
		JP 11-289088 /	10/19/1999	MATSUSHITA ELECTRON CORP	Corresponds to U.S. 6,472,281 B2	w/English Abstract	
		JP 09-045799 /	2/14/1997	TOSHIBA CORP		w/English Abstract and translation	
		JP 2001-015753 A /	1/19/2001	TOSHIBA CORP		w/English Abstract and translation	
		JP 10-209306 /	8/7/1998	MITSUBISHI ELECTRIC CORP		w/English Abstract and translation	
		JP 2000-164736 A /	6/16/2000	TOSHIBA CORP	Corresponds to U.S. 2003/0001195 A1	w/English Abstract	
		JP 2000-150681 A /	5/30/2000	TOSHIBA CORP		w/English Abstract and translation	
		JP 11-154711 /	6/8/1999	TOSHIBA CORP	Corresponds to U.S. 6,228,717 B1	w/English Abstract	
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
EXAMINER'S INITIALS	CITE NO.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
EXAMINER				DATE CONSIDERED			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.